



1464  
030

Docket No. K4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hessell et al.

Serial No: 09/898,844

Examiner: Unassigned

Filed: July 3, 2001

Group: 1464

For: Compositions of Group II and/or Group III Base Oils and  
Alkylated Fused and/or Polyfused Aromatic Compounds

Assistant Commissioner for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING

Date of Deposit: November 26, 2001

I hereby certify that the following:

- This Certificate of Mailing
- Form PTO 1449
- Twelve (12) Cited References
- Information Disclosure Statement
- Return postcard

are being deposited with the United States Postal Service first-class mail on the Date of Deposit indicated above in an envelope addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231.

  
\_\_\_\_\_  
Melody Wallberg

Mark Farber  
C/O  
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INFORMATION DISCLOSURE STATEMENT

In accordance with Applicant's duty of disclosure under 37 C.F.R §1.56, Applicants submit the enclosed reference for the Examiner's consideration.

It is respectfully requested that the reference(s) submitted with Form PTO-1449 be considered during Examination of the above-identified application and made of record therein. A copy of the reference(s) is/are enclosed. This submission is believed to be in compliance with 37 C.F.R. §1.97 and 37 C.F.R. §1.98.

The citation of the listed item(s) is not a representation that it constitutes a complete or exhaustive listing of prior art or that it constitutes prior art. The item(s) listed is/are submitted in good faith, but is/are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner, it will assist the Examiner in identifying fields of search and in making as full and complete a search as possible.

The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

( ) This information disclosure statement is being filed within three  
( ) months of the filing date of this application.

( ) This information disclosure statement is being filed within three  
( ) months of the date of entry of the national stage as set forth in  
37 C.F.R. § 1.491 in an international application.

(x) To the best of Applicant(s) knowledge, this information  
disclosure statement is being filed before the date of mailing of a  
first Office Action in connection with this case.

( ) Enclosed is a certificate under 37 C.F.R. §1.97 (e)(i).

( ) Enclosed is a petition under 37 C.F.R. §1.97 (d)

( ) Please charge the petition fee of \$130.00 required under  
37 C.F.R. §1.17 (i)(1) to Deposit Account No. \_\_\_\_\_.

( ) As set forth in 37 C.F.R. §1.97 (c), to the best of Applicant(s)  
knowledge, this information disclosure statement is being filed before  
either the mailing of a final action under 37 C.F.R. §1.113 or the  
mailing of a notice of allowance under 37 C.F.R. §1.113, and is  
accompanied by the \$220.00 fee as provided for in 37 C.F.R. §1.17(p).

( ) Please charge the \$240.00 fee required by 37 C.F.R. §1.17(p) to  
Deposit Account No. \_\_\_\_\_.

( ) Please charge any deficiency as well as any other fee(s) which  
may become due under 37 C.F.R. §1.16 and/or 37 C.F.R. §1.17 at any time  
during the pendency of this application, or credit any overpayment of  
such fee(s) to Deposit Account \_\_\_\_\_. Also, in the event any  
extensions of time for responding are required for the pending  
application(s), please treat this paper as a petition to extend time as  
required and charge Deposit Account No. \_\_\_\_\_ therefor. TWO  
COPIES OF THIS SHEET ARE ENCLOSED.

Early and favorable consideration of the case is respectfully  
requested.

Dated: November 26, 2001

  
\_\_\_\_\_  
Mark Farber  
Registration No. 34,159  
Attorney for the Applicants

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Form PTO-1449 U.S. DEPARTMENT OF  
COMMERCE PATENT AND TRADEMARK OFFICE  
INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several pages if necessary)



ATTY. DOCKET NO.

K4

SERIAL NO.

09/898,844

APPLICANT

Hessell et al.

FILING DATE

GROUP Art Unit:  
144

July 3, 2001

U.S. PATENT DOCUMENT

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2 7 6 4 5 4 8	9/25/56	King et al.	484	078	
	4 6 0 4 4 9 1	8/5/86	Dressler et al.	585	26	
	4 7 1 4 7 9 4	12/22/87	Yoshida et al.	585	26	
	4 9 9 2 1 8 3	2/12/91	Beimesch et al.	252	32.7	
	5 0 1 5 4 0 4	5/14/91	Kubo et al.	252	49.6	
	5 3 4 2 5 3 2	8/30/94	Takei et al.	252	45	
	5 5 1 9 9 3 2	5/28/96	Kuze	29	564.1	
	5 5 7 1 4 4 5	11/5/96	Srinivasan et al.	508	189	
	5 6 0 2 0 8 6	2/11/97	Le et al.	508	591	
	5 6 4 8 1 0 8	7/15/97	Hvidegaard	425	426	
	5 9 3 5 4 1 7	8/10/99	Cody et al.	208	87	
	5 9 9 3 6 4 4	11/30/99	Xiao et al.	208	89	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	EPO Search Report

EXAMINER DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.